



APR 1 2 2002

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Applicants:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 1 6 2002

TECH CENTER 1600/2900

Alexander Baguisi and Eric W. Overstrom

Application No.:

09/432,906

Unit:

1632

Filed:

November 2, 1999

Examiner:

D. Crouch

For:

METHODS OF CLONING ANIMALS

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Arlington, VA 22202

on 4/6

Date

Signati

Typed or printed name of person signing certificate

# TRANSMITTAL OF FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND PETITION FOR EXTENSION OF TIME

Assistant Commissioner for Patents

P.O. Box 2327

Arlington, VA 22202

Sir:

Applicants are filing a Petition for a two-month extension of time along with a Fourth Supplemental Information Disclosure Statement. The accompanying PTO form 1449 lists two U.S. non-provisional patent applications, and two PCT applications. One of these, International Publication No.: WO 00/26357, claims priority to the two U.S. non-provisional applications. During an interview with the Examiner on November 16, 2001, the existence of WO 00/26357, and these two priority documents were disclosed to the Examiner. Applicants are now formally submitting copies of WO 00/26357 and of the two non-provisional application (09/298,508 and 09/298,971) in the Fourth Supplemental Information Disclosure Statement filed concurrently herewith.

The second PCT application, No.: PCT/US99/25683, listed on Form 1449 is another related application, and claims priority to the U.S. Provisional Application, No.: 60/131,057,

listed on page 3 of the enclosed Fourth Supplemental Information Disclosure Statement. PCT Application No.: PCT/US99/25683 was withdrawn prior to publication, and U.S. Provisional Application No.: 60/131,057 is now abandoned.

A check in the amount of \$380 is enclosed to cover the cost of the two-month extension of time (\$200), and for the Fourth Supplement Information Disclosure Statement (\$180). Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Antoinette G. Giugliano

Registration No. 42,582

Telephone: (978) 341-0036

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Concord, MA 01742-9133

Dated: 4/9/87

# NSP/AGG/ead

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# RECEIVED

Alexander Baguisi and Eric W. Overstrom

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Application No.:

09/432,906

Group Art Unit:

1632

TECH CENTER 1600/2900

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Examiner:

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Title:

METHODS FOR CLONING ANIMALS

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on 4/9/02 Innue (Vinnue)  Date Signature  Typed or printed name of person signing certificate						

### FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** P.O. Box 2327

(Filed after payment of issue fee)

	ton, VA 22202					
Sir:						
This II	nformation Disclosure Statement is submitted: under 37 CFR 1.129(a), or (First/Second submission after Final Rejection)					
[ ]	under 37 CFR 1.97(b), or (Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the nation stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, include CPA, or a Request for Continued Examination).					
[ X ]	under 37 CFR 1.97(c) together with either:					
	[ ] a Statement under 37 CFR 1.97(e), as checked below, or					
	[X] a \$180.00 fee under 37 CFR 1.17(p), or (After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)					
[]	under 37 CFR 1.97(d) together with:					
	[ ] a Statement under 37 CFR 1.97(e), as checked below, and					
	[ ] a \$180.00 fee under 37 CFR 1.17(p), or (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)					
[ ]	under 37 CFR 1.97(i): Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.					

04/15/2002 HVUDNG1 00000045 09432906

Statem	ient	Uno	ler 37 (	CFR 1.97(e)			
[ ]	any	Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or					
[ ]	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.						
Statem	ient	<u>Unc</u>	der 37 (	(Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000			
[ ]	Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.						
[ X ]	Enclosed herewith is form PTO-1449:						
	[X] Copies of the cited references are enclosed.						
[ ] Copies of cited references are enclosed except application No. [ ], to which priority und application contains copies of the cited references.			Applic	s of cited references are enclosed except those entered in prior application, U.S. ation No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier ation contains copies of the cited references.]			
	[ ]		The lis	ted references were cited in the enclosed International Search Report in a rpart foreign application.			
	[ ]		The "c	oncise explanation" requirement (non-English references) for reference(s) [ ] 37 CFR 1.98(a)(3) is satisfied by:			
			[ ]	the explanation provided on the attached sheet.			
			[ ]	the explanation provided in the Specification.			
			[ ]	submission of the enclosed International Search Report.			
			[ ]	submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.			
			[ ]	the enclosed English language abstract.			

[ X ]	Applic	ant requests that the following r	non-published pending appli	cations be considered:			
Examiner's Initials							
w		U.S. Provisional Patent Application No.60/131,057 by Baguisi, A., et al., filed 4/26/99, Docket No.: 1322.1033-000.					
		U.S. Patent Application No. [	], by [inventor(s)], filed [	], Docket No.: [ ]			
		U.S. Patent Application No. [	], by [inventor(s)], filed [	], Docket No.: [ ]			
		Examiner	Date	_			
	[ X ]	A copy of each above-cited app	plication, including the curre	ent claims, is enclosed.			
	[ ]	A copy of each above-cited application, including the current claims, is enclosed, exce those entered in prior application, U.S. Application No. [ ], to which priority und 35 U.S.C. 120 is claimed.					
The Ex	caminer	ris requested to return a copy of re considered with the next offic	the above list of pending ap	pplications indicating which			
It is red	quested	that the information disclosed h	nerein be made of record in t	his application.			
Metho	d of pay	yment:					
[ X ]	A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Petition for Extension of Time. A copy of this Statement is enclosed.						
[ ]	Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.						
[X]	Please	Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.					
		I	Respectfully submitted,				
		I	HAMILTON, BROOK, SMI	TH & REYNOLDS, P.C.			
			<u> </u>				

Antoinette G. Giugliano Registration No.: 42,582 Telephone: (978) 341-0036 Facsimile: (978) 341-0136

Concord, MA 01742-9133 Dated: 4 9 9